

Audit Questionnaire for RICH Regrant # _____

Grants Director
RI Council for the Humanities
385 Westminister Street, Suite 2
Providence, RI 02903

Subject: OMB Circular A-133 and RICH Regrants, CFDA# 45.129

Dear Recipient:

Your institution has received one or more regrants from the RI Council for the Humanities for the fiscal year ended October 31, 2005, October 31, 2006, or both. The federal funds awarded by RICH are a part of a program of the National Endowment for the Humanities identified as #45.129 in the Catalogue of Federal Domestic Assistance (CFDA). Because your institution is a subrecipient of Federal funds, we are requesting certification from you that you are in compliance with the requirements of OMB Circular A-133 "Audits of Institutions of Higher Education and Other Nonprofit Organizations." Required is a copy of your certificate of audit in accordance with OMB Circular A-133 if you expended more than \$500,000 in federal funds within a fiscal year or, if there are audit findings, a copy of the audit report package and your corrective action plan.

If you are not the person responsible for the A-133 audits, it is important that this material be passed on to the Controller's office or other responsible party.

Please check the appropriate section(s) below and provide any required documents to the address above.

Thank you.

____ 1a. We have not yet completed our A-133 audit for FY 2006. Our fiscal year ends _____. We expect our audit to be completed before _____. Upon completion, we will provide you with written notification and a copy of the audit report and corrective action plan if material findings are reported related to the regrants from RICH.

____ 1b. Although our A-133 audit for FY 2006 is not completed, our A-133 audit for FY 2005 is complete. The audit disclosed no material weaknesses, no material instances of noncompliance and no findings related to regrants from RICH.

____ 1c. Our A-133 audit for FY 2005 is complete. Material weaknesses, material instances of noncompliance or findings related to regrants from RICH were noted. Therefore, we are enclosing a copy of the report and our corrective action plan.

____ 2. Our A-133 audit for FY 2004 is complete. The audit disclosed no material weaknesses, no material instances of noncompliance and no findings related to regrants from RICH.

____ 3. Our A-133 audit for FY 2004 is complete. Material weaknesses, material instances of noncompliance or findings related to regrants from RICH were noted. Therefore, we are enclosing a copy of the report and our corrective action plan.

____ 4. We are not subject to the provisions of A-133 because

____ . We are a for-profit.

____ . Our organization expended less than \$500,000 in federal funds

____ . Other (explain) _____

I certify that the information indicated above is correct and that all material audit findings have been disclosed.

Signature:

Date:

Name, Title, Institution:

If you have questions, please contact a member of our grants staff at 401-273-2250. Thank you.